

# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 11872/US/2

First Named Inventor: Tara Nicole Sherman

Title: **PROSTHETIC SPINAL DISC NUCLEUS WITH  
ELEVATED SWELLING RATE**

Express Mail No.: EV 325427190 US

19587 U.S. PTO  
10/23/18



ADDRESS TO:

Mail Stop **PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification with Cover Sheet Total Pages: 26
  - ☒ Descriptive title of the invention
  - ☒ Cross Reference to Related Applications
  - ☐ Statement Re: Fed. Sponsored R&D
  - ☐ Reference to Microfiche Appendix
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Brief Description of the Drawings
  - ☒ Detailed Description
  - ☒ Claims
  - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 3
5. ☐ Oath or Declaration Total Pages:
  - a. ☐ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ **DELECTION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application (37 C.F.R.  
1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)
7. ☐ CD-ROM or CD-R in duplicate,  
large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ Information Disclosure Statement  
(with Copies of Citations as necessary)
14. ☐ Preliminary Amendment Total Pages:
15. ☐ Certified Copy of Priority document(s)  
(if foreign priority is claimed)
16. ☐ English Translation Document (if applicable)
17. ☒ Return Receipt Postcard  
(Should be specifically itemized)
18. ☒ Other
  - ☒ Check in the amount of \$550
  - ☐
  - ☐
  - ☐
  - ☐
  - ☐

## CONTINUATION APPLICATIONS

19. If a CONTINUING APPLICATION, check appropriate box and  
supply the requisite information:

- ☐ Continuation
- ☐ Division
- ☐ Continuation-in-Part (CIP)

of PRIOR APPLICATION

No. \_\_\_\_\_, filed \_\_\_\_\_  
and also claims priority from  
\_\_\_\_\_, dated \_\_\_\_\_.

For CONTINUATION or DIVISIONAL APPLICATIONS: The entire  
disclosure of the prior application, from which a copy of the oath or  
declaration is supplied under Box 5b, is considered as being part of the  
disclosure of the accompanying application and is hereby incorporated  
by reference.

## CORRESPONDENCE ADDRESS

ADDRESS

CUSTOMER NUMBER

**25763**

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612-340-8856

Attorney Name: Scott D. Rothenberger

Reg. No. 41,277

Signature:

*Scott D. Rothenberger*

Date:

*November 25, 2017*



01/19/19 U.S. PTO

# APPLICATION FEE TRANSMITTAL SHEET (FY 2004)

## Complete if Known

Application No.	Not Yet Known
Filing Date	Herewith
First Named Inventor	Tara Nicole Sherman
Group Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Atty. Docket Number	11872/US/2

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u></p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed</p>		<b>3. ADDITIONAL FEES</b>																																																																																																									
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<p><b>1. BASIC FILING FEE</b></p> <table><thead><tr><th>Large Entity Fee</th><th>Small Entity Fee</th><th>Fee Description</th></tr></thead><tbody><tr><td>160</td><td>80</td><td><input type="checkbox"/> Provisional Filing Fee</td></tr><tr><td>770</td><td>385</td><td><input checked="" type="checkbox"/> Utility Filing Fee</td></tr><tr><td>340</td><td>170</td><td><input type="checkbox"/> Design Filing Fee</td></tr><tr><td>770</td><td>385</td><td><input type="checkbox"/> Reissue Filing Fee</td></tr><tr><td colspan="2"><b>Subtotal (1)</b></td><td><b>\$385</b></td></tr></tbody></table>		Large Entity Fee	Small Entity Fee	Fee Description	160	80	<input type="checkbox"/> Provisional Filing Fee	770	385	<input checked="" type="checkbox"/> Utility Filing Fee	340	170	<input type="checkbox"/> Design Filing Fee	770	385	<input type="checkbox"/> Reissue Filing Fee	<b>Subtotal (1)</b>		<b>\$385</b>																																																																																								
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### Submitted by:

CUSTOMER NUMBER <b>25763</b>	DORSEY & WHITNEY LLP	Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402
Name: Scott D. Rothenberger		Phone No.: (612) 340-8819    Fax No.: (612) 340-8856
Signature: <i>Scott D. Rothenberger</i>		Reg. No.: 41,277    Date: <i>November 27, 2003</i>

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Tara Nicole Sherman et al.

Docket No.

11872/US/2

Serial No.

Not Yet Known

Filing Date

Herewith

Examiner

Not Yet Known

Group Art Unit

Not Yet Known

Invention:

**PROSTHETIC SPINAL DISC NUCLEUS WITH ELEVATED SWELLING RATE**

I hereby certify that this Appln Trnsmtl (1); Fee Trnsmtl (1); Appln Data (2); Spec w/Cover (26); Drwgs (3);  
**Check #975310;** (Identify type of correspondence) **Rcpt Pstcrd**

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37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on 25 November 2003  
(Date)

Kim Anderson(Typed or Printed Name of Person Mailing Correspondence)  
(Signature of Person Mailing Correspondence)EV 325427190 US("Express Mail" Mailing Label Number)

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